

A new lexicalization constraint?

0. On the basis of data from Latin, Modern Greek, and Romance, I argue that, while natural language lexicons allow verbs with a “copular”, “*BE + PROPERTY*” paraphrasis (cf. Lat. *rubere* ‘be red’), they do so provided that *PROPERTY* is transient, and not permanent—in familiar terms, involving a *S(tage-)**L(evel)*, rather than an *I(ndividual-)**L(evel)* predicate [I]. I show, more generally, that the languages of my sample—or English, for that matter—do not allow the synthetic expression of any *IL* predicate [II]. Adopting a Distributed Morphology approach to the interfaces of syntax, I propose that the seemingly copular verbs of Latin, Modern Greek, etc., are, in fact, unergative verbs, therefore including a (Davidsonian) event, and that true copular predications, eventless, involve too much underlying structure to be packed into a (synthetic) verb [III].

[I] At least since the 1970s, a strand of research in formal linguistics has focused on patterns of lexicalization, including recurrent and systematic gaps in natural language lexicons: the fact that lexical items—notably verbs—do not have all the range of meanings and associated syntactic properties that they could logically have (Carter 1976, Hale & Keyser 1993ff., Rappaport Hovav & Levin 2010, a.o.). I report here on what seems to be a new such constraint, at least in a sample of languages.

My observation starts out from Latin. Many verbs in this language standardly receive a “copular”, “*BE + PROPERTY*” paraphrasis in the dictionaries (cf. Lewis & Short 1879)

- | | | |
|---------------------------------|---|------------------------------------|
| (1) a. <i>arere</i> : ‘be dry’ | d. <i>ualere</i> : ‘be strong, healthy’ | g. <i>calere</i> : ‘be warm’ |
| b. <i>umere</i> : ‘be wet’ | e. <i>esurire</i> : ‘be hungry’ | h. <i>aegrotare</i> : ‘be ill’ |
| c. <i>tumere</i> : ‘be swollen’ | f. <i>frigere</i> : ‘be cold’ | i. <i>candere</i> : ‘be brilliant’ |

However, there seems to be a restriction so that *PROPERTY* is always transient, rather than permanent; in more standard terminology, it corresponds to an *SL* predicate, rather than an *IL* one (Milsark 1974, Carlson 1982, Kratzer 1995, a.o.). Thus, all the paraphrases in (1) involve *SL* predicates. Moreover, common *IL* adjectives like the *altus* ‘high, tall’ or *romanus* ‘Roman’, either allow a verbalization with a change of state interpretation (and thus not the “copular” interpretation focused on here) or do not allow any verbalization at all:

- | |
|---|
| (2) a. <i>altus</i> ‘high, tall’: <i>inaltare</i> ‘raise, exalt’, *‘be high, tall’ |
| b. <i>brevis</i> ‘short’: <i>breuiare</i> ‘abridge, shorten, abbreviate’, *‘be short’ |
| c. <i>romanus</i> ‘Roman’: no derived verb |
| d. <i>pulcher</i> ‘beautiful’: no derived verb |

Concentrating on *rubere* ‘be red’, *IL/SL* diagnostic tests like embeddability under a perception verb (3), or a phase verb (4), or compatibility with spatiotemporal modifiers (5), show that this and similar verbs like those in (1) are *SL* rather than *IL*:

- | | | |
|---|-----------------------------|-------------------------------------|
| (3) Cernis et aestivo | mora | <i>rubere</i> die. [Prop. 4, 2, 13] |
| see.2SG also | summery.ABL mulberry.ACC.PL | <i>rubere</i> (INF) day.ABL |
| ‘You can also see the mulberry blushing in the summer day.’ | | |
| (4) Per herbas matutina | <i>rubent</i> | [lumina]. [Lucr. 5, 457] |
| through grass.ACC | morning.NOM.PL | <i>rubere</i> .PL light.NOM.PL |
| ‘On the grass shines with reddish shine the morning light.’ | | |
| (5) Ubi <i>rubere</i> | coeperit | corpus. [Cels. 3, 27] |
| as_soon_as | <i>rubere</i> (INF) | begin.FUT.3SG body.NOM |
| ‘As soon as the body begins to get red.’ | | |

In a nutshell, *rubere* cannot be used as an equivalent of *rubeus esse* ‘be red’, predicating an *essential* property of red things, as *stative* definitions such as Lewis & Short’s (1879) or Haverling’s (2003) could lead us to conclude:

- | | | | | |
|----------------|--------------|--------------------|--|-------------------------|
| (6) Humanus | sanguis | <i>rubet.</i> | | ≠ ‘Human blood is red.’ |
| human.NOM.M.SG | blood.NOM.SG | <i>rubere</i> .3SG | | |

I extend the observation to Catalan, Italian, French, and Modern Greek. In Catalan, for instance, there is a productive process of derivation of this kind of verbs via the suffix *-ej* (Oltra-Massuet & Castroviejo 2013), but even in the cases where the input could be an IL predicate, like *pla* ‘flat’, the resulting verb is not interpreted as involving an IL predicate:

(7) Aquí el camí plan-ej-a.

here the path flat-*ej*-1STCONJ.3SG

‘Here the path is kind of flat / gets flat.’

(8) #Encara n’hi ha que pensen que la Terra plan-ej-a.

still PTVE=LOC have.3SG that think.PL that the Earth flat-*ej*-1STCONJ.3SG

(Intended) ‘There are still those who think that the Earth is flat.’

On the basis of this evidence, I propose the Property Verbalization Constraint (PVC):

(9) Languages may have intransitive verbs expressing SL predicates, but not IL predicates.

[III] Importantly, there are reasons to think that the PVC holds more generally. Thus, while languages have some transitive verbs involving IL predicates, like *know*, *own*, or *fear*, these verbs are obligatorily transitive, and hence the resulting predicates themselves cannot be synthetic (univerbal), suggesting a stronger version of the PVC (a version which I will not explore here):

(10) *Peter knows. [Only ok with a deictic or anaphoric interpretation]

*Peter owns/fears.

[III] Keeping to the phenomena in [II], and working within a Distributed Morphology approach to syntax and its interfaces, where syntax feeds the generation of morphological products, I suggest an explanation in terms of the Spell-Out theory developed in Embick (2010). Certain functional heads in the syntax trigger the Spell-Out of chunks of structure, and one same exponent cannot straddle two domains of Spell-Out. Following Roy (2013), a.o., I assume that copular predications involve a functional head, Pr, projecting the external argument and taking a whole property-denoting projection as its complement. Pr cannot select roots, since these do not denote properties (Acquaviva 2009, Borer 2013). Assuming that Pr and the embedded categorizing head (say, *a*), head their own Spell-Out domains (signalled with curly brackets below), Pr and its complement are predicted not to form a Spell-Out domain. Instead, Pr will end up spelled out together with the Tense-Aspect-Tense morpheme(s), yielding an overt tensed copula in some languages:

(11) {TAM [_{PrP} DP_{Subj} [_{Pr} Pr {_{aP} a HIGH}]]]: cf., e.g., *Mount Everest is high*.

By contrast, the Latin and Catalan pseudostative verbs examined in [II] are unergative verbs, involving an event—Davidsonian states in Maienborn’s (2003) terminology. As such, they involve a *v* head encoding an event, and a Voice head that projects the external argument (Kratzer 1996). Unlike Pr, Voice is, following Wood & Marantz (2017), part of the extended projection of its complement, together with TAM, i.e., it does not trigger Spell-Out. As a result, TAM, Voice, *v* and the root can be spelled out together as a single synthetic verb:

(12) {TAM [_{VoiceP} DP_{Subj} [_{Voice} Voice [_{vP} v RUB]]]}: Lat. *rubere* ‘be read’, cf. (3)–(4)

Selected references

- Carlson**, G. N. 1982. Generic Terms and Generic Sentences. *Journal of Philosophical Logic* 11. 145–181. **Carter**, R. J. 1976. Some Constraints on Possible Words. *Semantikos* 1. 27–66. **Embick**, D. 2010. *Localism versus globalism in morphology and phonology*. MIT Press. **Hale**, K. & S. J. **Keyser**. 1993. On argument structure and the lexical expression of syntactic relations. In Kenneth H. & S. J. Keyser (eds.), *The View from Building 20: Essays in Linguistics in Honor of Sylvain Bromberger*, 53–109. MIT Press. **Kratzer**, A. 1995. Stage-Level and Individual-Level Predicates. In G. N. Carlson & F. J. Pelletier (eds.), *The Generic book*, 125–175. The University of Chicago Press. **Roy**, I. A. 2013. *Non-verbal predications. Copular sentences at the syntax-semantics interface*. OUP.